

**PROFORMA FOR SEEKING SECURITY AND SENSITIVITY CLEARANCE FOR
PROJECTS INVOLVING FOREIGNERS/FOREIGN COLLABORATIONS**

1. Proforma

- i. Title and short description of the project:
- ii. Name, address, nature of organization and the activities of foreign collaborators/sponsor and more specifically of the direct collaborator:
- iii. Details of past collaborations if any, by the collaborator/sponsor with the applicant/department/other departments/other Indian institutions:
- iv. Details if any available on R & D projects of sensitive and national security taken up, and/or funded by the sponsor/collaborator in the past in its own country or any other country:
- v. Origin of the present project proposal:
- vi. Total cost in Rs. And Foreign Exchange and duration of the project:
- vii. Details of foreign support/collaboration:
 - a) Financial support
 - b) Equipment support
 - c) Technical support
 - d) Manpower training/exchange (Indian/foreign)
 - e) Data/informational support
 - f) Others (specify)
- viii. Justification/need for foreign sponsorship/collaboration and likely benefits (scientific, economic, societal, strategic etc.) for the department/AU/India
- ix. The project involves (give details)
 - a) Referring to or sourcing of strategic/sensitive material/information
 - b) Transfer of biological material(s) to the collaborator/sponsor
 - c) Use of genetically modified organisms:
 - d) Use of environmentally or other hazardous material(s)
 - e) Use of radioactive materials
 - f) Field surveys/trials/proving/collection/testing (give locations)
 - g) Intellectual Property Rights (IPR) generation/exploitation/use
 - h) Visits if any by the personnel of foreign collaborator/sponsor to India, if so, the places/institutions to be visited and likely duration
 - i) Ethical issues in conducting of the project

If answer to any of the points in (ix) is 'yes', the PI to give undertaking to abide by relevant and extant national regulations and guidelines on the subject.

- x. Any conditionalities on use of project results for strategic and/or national security uses.
- xi. Any other relevant information not covered above.
- xii. Endorsement and specific recommendations of the Director of Research that The project does not involve any national security and/or sensitivity angles either proximately or remotely now or in the foreseeable future.

Or

The project involves issues of national security and /or sensitivity (specify) and due diligence has been exercised in the laboratory and measures (specify) put in place to adequately safeguard the security and sensitivity issues.

2. Scrutiny of Proposals

Prior to the submission of the proposal for clearance as above, the proposal shall be scrutinized at the laboratory level with respect to the following guidelines:

- i. The project is related to national/AU research priorities/interests.
- ii. There is need for foreign collaboration in the specific field/subject
- iii. The foreign collaboration is expected to add value or bring benefits to AU/India
- iv. **The field/subject of the project does not have a bearing on sensitive matters- political, regional, religious, communal, social, tribal and /or on security including industrial and technological, and on the country's commercial interests, either proximately or remotely.**
- v. The project would not allow access by foreigners to sensitive information or data or material
- vi. The project does not exploit/use human participants without proper ethical clearance from appropriate bodies.
- vii. The end use of the results of the study do not impinge on areas of national security or on the areas which are 'sensitive' particularly through custody and control of data and its dissemination/publication to the detriment of national security or interest now or in the foreseeable future.
- viii. Appropriate measures to ensure necessary security have been/will be put in place in case of visits by foreigners to sensitive areas or institutions
- ix. For projects involving cruise of foreign vessels in Indian EEZ and /or flight of foreign aircraft over *Indian* territory clearance of appropriate inter-ministerial group(s)/Ministries/departments has been obtained and specifically mentioned thereto.

Those projects involving security/sensitivity/ethical issues will be submitted to relevant Ministries/Departments/State Governments for approval.